

Supervision and doctorate agreement of the *Promotionszentrum*

Promoting Research and Innovation for Sustainable Multidisciplinary Approaches in Health "PRISMA Health" of the Deggenndorf Institute of Technology and the Rosenheim Technical University of Applied Sciences

This supervision agreement is intended to make the relationship between doctoral candidates and supervisors transparent in terms of contents and time and shall be concluded between doctoral candidates and supervisors in accordance with Section 6 (1) No. 4 of the Doctorate Regulations (PromO). The contractual supervisory relationship begins upon the candidate's admission to the *Promotionszentrum* and ends with her/his removal from the list of doctoral candidates. It does not regulate any personnel or labor law aspects of any employment relationship between the persons concluding the supervision agreement and does not establish any enforceable legal positions.

1. Supervision

This supervision and doctorate agreement is concluded between

Doctoral candidate:

Form of address

Name

University

E-Mail

and

Principal supervisor¹:

Form of address

Name

University

E-Mail



Second supervisor:

Form of address

Name

Institution

E-Mail

Additional supervisor / mentor:

Form of address

Name

Institution

E-Mail

Role in the supervisory
relationship and justification

2. Dissertation topic and exposé

The supervision agreement is concluded on the following topic

Working title of the dissertation

The doctoral project has been described in an exposé including a work and time schedule and has been agreed on between the doctoral candidate and the supervisor(s). With this supervision agreement, the planning is approved by the supervisor(s).



3. Work and time schedule

The planned doctoral project should be completed within 3 years and not exceed 5 years. The official admission to the *Promotionszentrum* PRISMA Health is regarded as the start of the doctoral project. The work and time schedule for the doctoral project drawn up together with the exposé will be updated and revised during the course of the doctoral project. The personal and family situation of the doctoral candidate shall be taken into account.

4. Tasks and duties of doctoral candidates

Doctoral candidates undertake to

- a) scientifically realize the doctoral project in a targeted and independent manner.
- b) maintain a realistic work and time schedule for the doctoral project together with the supervisor(s).
- c) participate in the structured doctoral programme in accordance with Section 10 of the Doctorate Regulations (PromO) and the Guidelines for the Structured Doctoral Programme. An additional qualification plan (Annex) will be drawn up together with the supervisor(s).
- d) discuss the status and progress of the doctoral thesis and any problems in the research process with the supervisor(s). A written interim report, individual chapters (monograph), papers (publication-based dissertation) or an oral presentation serve as the background for discussion. The background materials for the supervisory conversation must be submitted in sufficient time. Subsequent to the meeting, the work and time schedule may be adjusted by mutual agreement, if necessary
- e) if required, doctoral candidates may write a short protocol with all agreements from the meeting, which is then countersigned by the supervisors and is intended for documentary filing by both parties.
- f) inform supervisor(s) as early as possible as soon as significant problems with the implementation of the work and time schedule become apparent.
- g) actively participate in conferences, publications in relevant academic journals, etc. and in professional training programmes.

- h) inform the *Promotionszentrum* in written form if the doctoral project is not pursued further.

5. Tasks and duties of supervisors

Supervisors undertake to

- a) counsel the doctoral candidate regularly and in-depth on the progress and results of the doctoral project with the aim of ensuring the quality of the dissertation.
- b) support the doctoral candidate, especially in the challenging phases of selecting the theory and the methodology.
- c) maintain realistic work, time, qualification and financing plans together with the doctoral candidate (Qualification plan see Appendix).
- d) foster and support early scientific independence (active participation in conferences, publications in adequate academic journals; e.g. by passing on information, pointing out funding opportunities and supporting the acquisition of funding).
- e) provide advice and support in the selection of relevant academic journals for a publication-based dissertation in accordance with the Guidelines for Publication-Based Dissertations.
- f) work closely together and coordinate with each other in the case of several supervisors.
- g) foster the integration of doctoral candidates into the scientific ecosystem relevant to the doctoral project (research associations, etc.).
- h) support doctoral candidates in obtaining funding (e.g. scholarships) to finance the doctoral project phase.
- i) ensure that doctoral candidates fulfil the requirements pursuant the structured doctoral programme of Section 10 of the Doctorate Regulations (PromO) and to support the appropriate integration into teaching.
- j) seek solutions together with the doctoral candidate in the event of foreseeable delays.

If a second supervisor is appointed, the duties of the first and second supervisors are performed jointly.

6. Adherence to good scientific practice

Doctoral candidates are informed about the principles of good scientific practice by their supervisors in accordance with the statutes for safeguarding good scientific practice at the University of Applied Sciences the principal supervisor is affiliated with. Doctoral candidates undertake to obtain all relevant information about these principles.

Supervisors and doctoral candidates undertake to observe these principles and to act accordingly within the framework of open and constructive cooperation. Furthermore, doctoral candidates and supervisors undertake to comply with further subject-specific normative and ethical standards.

7. Regulations in the event of conflicts and amendments to the agreement

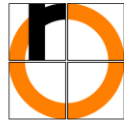
If conflicts arise, both parties agree to seek dialogue. In addition, there is the option of contacting possible mediation bodies in order to bring about a solution to the conflict. For example, ombudspersons for good scientific practice, the heads of the graduate schools or deans may be contacted. Regulations on the termination of the supervisory relationship are set out in Section 6 (4) of the Doctorate Regulations (PromO).

Section 8 (5) of the Doctorate Regulations (PromO) regulates the appointment of substitute supervisors. In the event of an exchange of persons in the team of supervisors, a new supervision agreement must be concluded. In this case, all parties involved declare that the exchange has also been agreed with previous members of the team of supervisors.

8. Other

By being admitted to the *Promotionszentrum PRISMA Health*, doctoral candidates are enrolled at the Deggen Dorf Institute of Technology (DIT) and at the University of Applied Sciences the principal supervisor is affiliated with.

Please also note the appendix "Qualification plan and integration into the academic environment", which must also be submitted.



Place, Date

Signature of doctoral candidate

Place, Date

Signature of principal supervisor

Place, Date

Signature of second supervisor

Place, Date

Signature of additional
supervisors



Annex

Qualification plan and integration into the academic environment

Qualification plan for _____

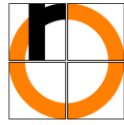
Compulsory academic qualifications according to Section 10 of the Doctorate Regulations (PromO):

- Qualification courses
 - Good academic practice and avoidance of academic misconduct (1 ECTS)
 - Ethics and data protection (1 ECTS)
 - Research data management (1 ECTS)
 - Didactics / University teaching (1 ECTS)
- Furthermore, doctoral candidates are required to obtain 4 additional ECTS credits. The courses are selected individually by the doctoral candidates and their supervisors. Upon admission to the Doctoral Centre, the doctoral committee may impose requirements regarding specific qualifications.

Optional academic qualifications with time planning:

Professional training (seminars, courses)

Beyond core competencies (soft skills)



Short research visits in Germany and abroad

Other

For external doctoral candidates: Integration into the academic environment

In this section, describe how you will be integrated into the academic community as part of your dissertation. Fill out only the fields that apply to you.

- ☐ Attendance time at a partner institution to the extent of

- ☐ Collaborative research project with a partner institution

- ☐ Collaboration in a university research group (e.g. collaboration on proposals for research projects, publications, conference contributions, public relations work)



☐ Other

[Place], [Date]

Name of doctoral candidate

Name(s) of supervisor(s)

Signature of doctoral candidate

Signature(s) of supervisor(s)

